

## Data Sheet

<b>USAID Mission:</b>	Kenya
<b>Program Title:</b>	Democracy and Governance
<b>Pillar:</b>	Democracy, Conflict and Humanitarian Assistance
<b>Strategic Objective:</b>	615-006
<b>Status:</b>	Continuing
<b>Proposed FY 2003 Obligation:</b>	\$3,000,000 DA
<b>Prior Year Unobligated:</b>	\$200,000 ESF
<b>Proposed FY 2004 Obligation:</b>	\$1,000,000 DA; \$4,000,000 ESF
<b>Year of Initial Obligation:</b>	FY 2001
<b>Estimated Completion Date:</b>	FY 2005

**Summary:** This program works to improve the balance of power among the various branches of government in Kenya, specifically by helping to increase the independence of select government institutions; promoting more transparent and competitive electoral processes, and increasing the effectiveness of civil society organizations in lobbying for reforms, monitoring government activities, and preventing and resolving conflicts.

### Inputs, Outputs, Activities:

#### FY 2003 Program:

Institutional independence (\$1,300,000 DA). Training and technical assistance will be provided to the new Parliamentary Service Commission, to new parliamentary staff, and to parliamentary committee members on how to prioritize their activities and structure their strategic plan to better direct the development of their institution; support will be provided for fora where parliamentarians, Government of Kenya (GOK) officials and civil society organizations can engage in dialogue on economic and other key policy issues. Principal contractor/grantee: the State University of New York.

Electoral processes (\$700,000 DA). With the 2002 presidential election successfully completed, this program will be vastly scaled down. However, assistance to the Electoral Commission of Kenya (ECK) will continue for voter registration and administration of the elections. Prior year ESF will also be used to fund this activity. Principal contractors/grantees: the International Foundation for Election Systems and the Carter Center.

Advocacy and conflict resolution (\$1,000,000 DA). USAID grants to local non-governmental organizations (NGOs), including religious organizations and think tanks, will provide research and other information to inform public debates, and support NGOs in lobbying for reforms, monitoring government activities, and preventing and/or resolving conflicts. NGOs also will receive technical assistance designed to improve their skills and management with the ultimate goal of enhancing interaction between civil society and government. Principal contractors/grantees: Kenya civil society organizations including Transparency International - Kenya Chapter, the Institute for Economic Affairs, the Center for Governance and Development, the Collaborative Center for Gender and Development, the National Council of Churches of Kenya, and the Law Society of Kenya.

#### FY 2004 Program:

Institutional independence (\$3,000,000 ESF). In addition to the parliament accounts and investment committees, there are ten sectoral committees who scrutinize and amend all bills before they are passed by the whole house. USAID provides these committees with policy analysis and information from non-governmental organizations and parliamentary interns, enabling the members of parliament to effectively scrutinize legislation and issues brought before the committees. This parliamentary strengthening program will continue, focusing on the effectiveness of the committee system. Given sufficient political will and constitutional reform, technical assistance and training may be provided to the judiciary or local

government to improve good governance in those institutions. ESF will also fund a program on GOK transparency and accountability. Principal contractors and grantees will remain the same as in FY 2003.

Electoral processes (\$700,000 DA). Assistance to the Electoral Commission of Kenya will improve the capacity to effectively administer elections by developing the ability to register voters, updating and verifying the voter registry, and computerizing various aspects of its work ranging from budgeting and financial planning to developing the electoral calendar. Principal contractors and grantees will remain the same as in FY 2003.

Advocacy and conflict resolution (\$1,000,000 ESF; \$300,000 DA). DA funds will continue to focus on support to NGOs working to prevent, mitigate, and resolve conflicts. With ESF funding, USAID will support civil society organizations working to promote transparency and accountability. These civil society organizations will work in close collaboration with the parliament, executive, and judicial branches of government to raise awareness and build consensus around anti-corruption activities as well as continuing research and analysis on the incidences of bribery in the country. Principal contractors and grantees will remain the same as in FY 2003.

**Performance and Results:** Results during the past year are noteworthy, in particular the recently published draft constitution and improvements in the electoral enabling environment. USAID civil society partners effectively lobbied the Constitution of Kenya Review Commission for inclusion of key issues in the constitution including mechanisms to promote transparency and accountability, both in the public budget process and in establishing new GOK entities to address transparency. NGO partners also effectively lobbied for affirmative action to ensure increased representation of women in the Government of Kenya, including representation in parliament and other constitutional offices. They have lobbied as well for additional safeguards to ensure independence and checks and balances among the branches of government. Considerable success was also witnessed in the preparations for the national elections. Lobbying efforts of NGO partners and political party representatives' led to the re-appointment of 12 electoral commissioners, whose terms were due to expire within two months of the elections, a situation that would have seriously compromised the ECK's ability to administer the election. Similarly, NGOs successfully lobbied for regulations improving the transparency of the elections, including counting ballots at the polling stations. USAID-funded NGOs also set up a national network of observers to monitor election-related violence and intimidation and provide credible information to the public. The receipt of credible, timely information has directly contributed to the ECK's increased assertiveness in condemning violence and other irregularities such as bribery.

In the area of parliamentary strengthening, even its critics would agree, the last parliament was the most independent in Kenya's history. Committees are serving their function more effectively. For example, committee recommendations for legislative amendments are now overwhelmingly adopted by the full parliament. By program completion, there will be a better balance of power among the institutions of governance, the National Assembly (i.e., parliament) will be independent and more effective, the government systems will be more transparent and accountable to the people of Kenya, and civil society organizations will have full capacity to effectively lobby for national reforms and monitor government activities.

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## US Financing in Thousands of Dollars

Kenya

615-006 Democracy and Governance	<b>DA</b>	<b>ESF</b>
<b>Through September 30, 2001</b>		
Obligations	1,950	1,400
Expenditures	112	0
Unliquidated	1,838	1,400
<b>Fiscal Year 2002</b>		
Obligations	3,320	3,300
Expenditures	832	657
<b>Through September 30, 2002</b>		
Obligations	5,270	4,700
Expenditures	944	657
Unliquidated	4,326	4,043
<b>Prior Year Unobligated Funds</b>		
Obligations	0	200
<b>Planned Fiscal Year 2003 NOA</b>		
Obligations	3,000	0
<b>Total Planned Fiscal Year 2003</b>		
Obligations	3,000	200
<b>Proposed Fiscal Year 2004 NOA</b>		
Obligations	1,000	4,000
Future Obligations	0	0
Est. Total Cost	9,270	8,900